



**S/N 09/866938**

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Wendell P. Noble Jr. et al.	Examiner:	Jack Chen
Serial No.:	09/866938	Group Art Unit:	2813
Filed:	May 29, 2001	Docket:	303.330US3
Title:	ULTRA HIGH DENSITY FLASH MEMORY		

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
P.O.Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(c)(2), please charge Deposit Account No. 19-0743 in the amount of \$180.00 as set forth in 37 C.F.R. §1.17(p).

**Concise Explanation of Relevance**

In accordance with 37 CFR 1.98(a)(3), Applicants submit, below, a concise explanation of relevance for the single, non-English reference.

**Foreign Patent Document**

Foreign Document No	Name of Patentee or Applicant of cited Document	Concise Explanation of Relevance
JP-2000-164883	Yamazaki, S.	Title: Manufacture of Thin-Film Insulating Gate Semiconductor Device. Relevance: The reference describes fabricating a transistor on a substrate.

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The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

WENDELL P. NOBLE JR. ET AL.

By their Representatives,

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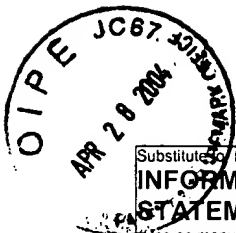
Date 4-26-04

By Marvin L. Beekman  
Marvin L. Beekman  
Reg. No. 38,377

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 26th day of April, 2004.

Tina Kohut  
Name

2.6.04  
Signature



Substitute for form 1449A/PTO  
**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Use as many sheets as necessary)

Complete if Known

Application Number	09/866938
Filing Date	May 29, 2001
First Named Inventor	Noble Jr., Wendell
Group Art Unit	2813
Examiner Name	Chen, Jack

Sheet 1 of 1

Attorney Docket No: 303.330US3

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
	JP-2000-164883	06/16/2000	Yamazaki, S. , et al.	H01L	29/786	

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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**EXAMINER**

**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached